

0445.3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: James W. Klett, et al.

DOCKET NO.: 0445.3

SERIAL NO.: 09/489,640

ART UNIT: 1771

#8

FILED: January 24, 2000

EXAMINER:

TITLE: Pitch-Based Carbon Foam Heat Sink With Phase Change Material

FOURTH REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Sir:

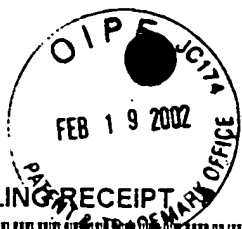
Attached hereto is a copy of the official filing receipt received from the USPTO in the above identified patent application. There is an error with respect to inconsistent data with PTO records. The Continuing Data as Claimed by Applicant should read:

THIS APPLICATION IS A CON OF 09/093,406 06/08/1998 PAT 6,037,032
WHICH IS A CIP OF 08/921,875 09/02/1997 PAT 6,033,506
AND A CIP OF 08/923,877 09/02/1997 ABN
AND A CIP OF 09/337,027 06/25/1999
WHICH IS A CON OF 08/921,875 09/02/1997 PAT 6,033,506
AND A CIP OF 09/136,596 08/19/1998
WHICH IS A DIV OF 08/921,875 09/02/1997 PAT 6,033,506

Applicant respectfully requests the issuance of a corrected filing receipt.

Respectfully Submitted,

Vincent A. Branton, Attorney for Applicants
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UT-Battelle, LLC
Post Office Box 2008
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YAB

CORRECTED FILING RECEIPT



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UNITED STATES DEPARTMENT OF
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/489,640	01/24/2000	1771	1854	6321-157	13	76	5

J A Marasco
Lockheed Martin Energy Research Corporation
P O Box 2009
Oak Ridge, TN 37831-8243

Date Mailed: 07/24/2000

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→ DELETE

Foreign Applications

If Required, Foreign Filing License Granted 03/30/2000

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Preliminary Class

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Data entry by : GREEN, TERESA

Team : OIPE

Date: 07/24/2000

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 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A handwritten signature in black ink, appearing to read "V. A. Branton".

Vincent A. Branton, *Attorney for Applicants*
Reg. No. 39,120
UT-Battelle, LLC
Post Office Box 2008
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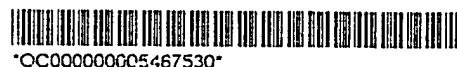
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Date Mailed: 10/12/2000

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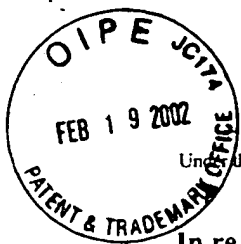
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In re Application of:

KLETT ET AL.

Application No.:

09/489,640

Filing Date:

January 24, 2000

Title:

PITCH-BASED CARBON FOAM HEAT SINK
WITH PHASE CHANGE MATERIAL

Direct to:

~~Box Reconstruction~~TC 1700-Valarie R. Reid
United States Patent and Trademark Office
Washington, DC 20231

NOTICE UNDER 37 CFR 1.251 - Pending Application

Statement (check the appropriate box):

☒ The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☐ The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).

☐ The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.

☐ Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

Date

07/30/02

Signature

NGL JBTTER

Typed or printed name

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A copy of this notice should be returned with the reply.

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.